

Assessing the PEPFAR Global OVC Graduation Benchmarks



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PEPFAR OVC benchmarks

MEASURE Evaluation asked to develop:

- (1) Indicator reference sheets for the global minimum benchmarks

available at <https://www.measureevaluation.org/resources/publications/tl-18-20>

- (2) A standard tool for assessing graduation for global use

available at <https://www.measureevaluation.org/resources/publications/tl-18-21>

- (3) Data quality and sustainability assessment

available at <https://www.measureevaluation.org/resources/publications/ms-18-157>

2. Assessment of OVC graduation benchmarks

MEASURE Evaluation

February 2019

Graduation Benchmarks Assessment Tool for Orphans and Vulnerable Children Programs

Instructions for Graduation Benchmarks Assessment:

The caseworker should complete this form with each household to assess whether the household is ready to graduate from the program for orphans and vulnerable children (OVC). **Before meeting with the primary caregiver or other members of the household, the caseworker should first go through the questionnaire below and pre-fill it with information already gathered and available in the household's casefile.** Throughout the document, instructions to the caseworker are given in **bold type**. Caseworkers can also use a one-page scoring sheet (Appendix 1) to reduce printing costs.

All applicable benchmarks must be assessed for all children, adolescents, and youth in the household and all primary caregivers (maximum of two caregivers per child). For the household to graduate, all applicable benchmarks must be met for primary caregivers, all children and adolescents ages 0–17, and all youth ages 18–20 and still in secondary school.

The benchmarks apply to individuals and households as follows:

Benchmarks	Beneficiaries					Households
	All ages	HIV +	10–17 yrs	0–4 yrs	School age	
Known HIV status (or test not required)	✓					
Adherent / Virally suppressed		✓				
Knowledgeable about HIV prevention			✓			
Not malnourished				✓		
Financially stable						✓
No violence reported						✓
Not in a child-headed household						✓
Children in school					✓	

OVC graduation benchmarks

Overview

The benchmarks apply to individuals and households as follows:

Benchmarks	Beneficiaries					Households
	All ages	HIV +	10–17 yrs	0–4 yrs	School age	
Known HIV status (or test not required)	✓					
Adherent / Virally suppressed		✓				
Knowledgeable about HIV prevention			✓			
Not malnourished				✓		
Financially stable						✓
No violence reported						✓
Not in a child-headed household						✓
Children in school					✓	

OVC graduation benchmarks

Graduation assessment tool

- Can be used at any time to gauge progress of the child and family progress toward graduation
- Should be kept in the case file

OVC graduation benchmarks

Graduation assessment tool

- Caseworker completes the graduation assessment in consultation with a primary caregiver and also asks questions directly of adolescents in the household
- Caseworker then discusses results of the graduation assessment with immediate supervisor and determines with supervisor if all members of the household are ready for graduation
- Caseworker notes the graduation decision in the file along with actions needed if household is not ready to graduate

OVC graduation benchmarks

Assessment tool for OVC programs

For each benchmark, the caseworker answers questions based on the case file, or by asking questions of a member of the household as stated in the tool.

All questions have Yes/No responses.

Benchmark 1 (1.1.1): Known HIV status (or test not required)

Question

Answer the following questions using the casefile:

- 1.1. Has each child in the household been documented as “HIV status positive,” “HIV status negative,” or “test not required based on risk,” according to an HIV risk assessment?
- 1.2. Has each primary caregiver in the household been documented as “HIV status positive,” “HIV status negative,” or “test not required based on risk,” according to an HIV risk assessment?

Response

1.1. Yes No

1.2. Yes No

If Questions 1.1 and 1.2 are answered Yes, Benchmark 1 has been met.

Has Benchmark 1 been met?

Yes No

Each benchmark contains scoring instructions.

Final assessment

Benchmark 1 ^(1.1.1) : Known HIV status (or test not required)	
Has Benchmark 1 been met for all members of the household?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Benchmark 2 ^(1.2.1) : Virally suppressed	
Has Benchmark 2 been met for <u>each</u> beneficiary who was assessed?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
Benchmark 3 ^(1.3.1) : Knowledgeable about HIV prevention	
Has Benchmark 3 been met for <u>each</u> beneficiary who was assessed?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
Benchmark 4 ^(1.4.1) : Not malnourished	
Has Benchmark 4 been met for <u>each</u> beneficiary who was assessed?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
Benchmark 5 ^(2.1.1) : Improved financial stability	
Has Benchmark 5 been met for the household?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Benchmark 6 ^(3.1.1) : No violence	
Has Benchmark 6 been met for the household?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Benchmark 7 ^(3.1.2) : Not in a child-headed household	
Has Benchmark 7 been met for the household?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Benchmark 8 ^(4.1.1) : Children in school	
Has Benchmark 8 been met for all members of the household?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
Have all applicable benchmarks been met? (Benchmarks 1–8 ticked Yes or N/A)	Yes <input type="checkbox"/> No <input type="checkbox"/>

All eight benchmarks should be met by all applicable members of the household for the household to graduate

Scoring sheet

A one-page scoring sheet is also available.

This sheet must be used with the full Graduation Benchmarks Assessment Tool, but will reduce printing costs, because only one page per household must be printed.

E

HH ID: _____

Benchmark 1 (1.1-1): Known HIV status (or test not required)					
ID: _____	ID: _____	ID: _____	ID: _____	ID: _____	ID: _____
1.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	1.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	1.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	1.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	1.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	1.1. Yes <input type="checkbox"/> No <input type="checkbox"/>
2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>
If Questions 1.1 and 2.1 are answered Yes for all members of the HH, Benchmark 1 has been met for the household. Has Benchmark 1 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>					
Benchmark 2 (1.2-1): Virally suppressed					
ID: _____	ID: _____	ID: _____	ID: _____	ID: _____	ID: _____
2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.1. Yes <input type="checkbox"/> No <input type="checkbox"/>
2.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.2. Yes <input type="checkbox"/> No <input type="checkbox"/>
2.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	2.3. Yes <input type="checkbox"/> No <input type="checkbox"/>
Option (a): If Questions 2.1 is answered Yes, the beneficiary has met Benchmark 2. Option (b): If Questions 2.2 and 2.3 are answered Yes, the beneficiary has met Benchmark 2. Each HIV+ beneficiary must meet Benchmark 2 through Option (a) or Option (b) for Benchmark 2 to be met for the HH. Has Benchmark 2 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>					
Benchmark 3 (1.3-1): Knowledgeable about HIV prevention					
ID: _____	ID: _____	ID: _____	ID: _____	ID: _____	ID: _____
3.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.1. Yes <input type="checkbox"/> No <input type="checkbox"/>
3.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	3.2. Yes <input type="checkbox"/> No <input type="checkbox"/>
If Questions 3.1 and 3.2 are answered Yes for all adolescents ages 10-17 in the HH, Benchmark 3 has been met for the household. Has Benchmark 3 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>					
Benchmark 4 (1.4-1): Not undernourished					
ID: _____	ID: _____	ID: _____	ID: _____	ID: _____	ID: _____
4.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.1. Yes <input type="checkbox"/> No <input type="checkbox"/>
4.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	4.2. Yes <input type="checkbox"/> No <input type="checkbox"/>
If Questions 4.1 and 4.2 are answered Yes for all children ages 6 to 59 months in the HH, and no children under the age of 5 months appear undernourished according to caseworker judgment, Benchmark 4 has been met for the HH. Has Benchmark 4 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>					
Benchmark 5 (2.1-1): Improved financial stability					
5.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	5.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	5.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	5.4. Yes <input type="checkbox"/> No <input type="checkbox"/>	5.5. Yes <input type="checkbox"/> No <input type="checkbox"/>	5.6. Yes <input type="checkbox"/> No <input type="checkbox"/>
If Questions 5.1, 5.2, 5.3, 5.4, 5.5, and 5.6 are all answered Yes, Benchmark 5 has been met for the HH. Has Benchmark 5 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>					
Benchmark 6 (3.1-1): No violence					
6.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	6.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	6.3. Yes <input type="checkbox"/> No <input type="checkbox"/>	6.4. Yes <input type="checkbox"/> No <input type="checkbox"/>		
If Questions 6.1, 6.2, 6.3, and 6.4 are all answered No, Benchmark 6 has been met for the HH. Has Benchmark 6 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>					
Benchmark 7 (3.1-2): Not in a child-headed household					
7.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	If Question 7.1 is answered Yes, Benchmark 7 has been met for the HH. Has Benchmark 7 been met for the household? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>				
Benchmark 8 (4.1-1): Children in school					
8.1. Yes <input type="checkbox"/> No <input type="checkbox"/>	8.2. Yes <input type="checkbox"/> No <input type="checkbox"/>	8.3. Yes <input type="checkbox"/> No <input type="checkbox"/>			
If Questions 8.1, 8.2, and 8.3 are answered Yes, Benchmark 8 has been met for the HH. Has Benchmark 8 been met for the HH? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>					
Have all applicable benchmarks been met? (Benchmarks 1-8 ticked Yes or N/A) <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>					

If all applicable benchmarks have been met, congratulate the household. They are ready to graduate!

3. Graduation data quality and sustainability assessment



Graduation data quality and sustainability assessment

The objective of the Data Quality and Sustainability Assessment (DQSA) is to assess performance of OVC projects and support project improvement.

The DQSA assesses three questions:

Question 1: Is the community-based organization (CBO) routinely and accurately employing the graduation benchmarks to gauge the progress of children and families?

Question 2: Are data on the number of those graduating accurately collected and transmitted centrally?

Question 3: Do graduated households continue to meet the graduation criteria 6–12 months after graduation from the OVC project?

Graduation data quality and sustainability assessment

Question 1: Is the community-based organization (CBO) routinely and accurately employing the graduation benchmarks to gauge the progress of children and families?

Methods:

- Qualitative interviews with monitoring and evaluation (M&E) officer, project staff
- Review of case files
- Graduation verification assessment: A sample of households is re-assessed against the graduation benchmarks,* and the “match” between the two assessments is evaluated

*Using the Graduation Verification Assessment Tool, available at <https://www.measureevaluation.org/resources/publications/tl-18-22>

Graduation data quality and sustainability assessment

Question 2: Are data on the number of those graduating accurately collected and transmitted centrally?

Methods:

- Cross-checks of data sources: Forward and reverse cross-checks of a sample of households
- Recount of graduated households using primary source documents and comparison with counts in DATIM

Graduation data quality and sustainability assessment

Question 3: Do graduated households continue to meet the graduation criteria 6–12 months after graduation from the OVC project?

Method:

- Sustainability assessment of households: A sample of graduated households is re-assessed against the graduation criteria 6–12 months after graduation

*Using the Graduation Sustainability Assessment Tool, available at <https://www.measureevaluation.org/resources/publications/tl-18-23>

Questions?



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